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Transmittal [1 page], Supplemental Information Disclosure Statement [1 page]; Form PTO/SB/08A [2 pages]; WO/9931167 [90 pages]; EP 0540357 [11 pages]; EP 0097907 [52 pages]; "Reaction of Wool Keratin with Epoxides, Proceedings International [10 pages]; "The Action of 1, 2-Epoxides on Proteins" [12 pages]; and return receipt postcard.

Applicant: MARK VAN DYKE

Group Art Unit: Not Yet Assigned

Serial No.: 10/626,907

Examiner: Not Yet Assigned

Filing Date: 07/25/2003

Atty. Docket No.: SwRI-2966-03

Title: Bioactive Coating for Medical Devices



3-3-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Van Dyke § Group Art Unit: Not Yet Assigned
Serial No.: 10/626,907 § Examiner: Not Yet Assigned
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the references listed on the attached Form PTO/SB/08A be considered by the Examiner and made of record.

This Supplemental Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. **50-0997 (SWRI-2966-03)**, maintained by Paula D. Morris & Associates, P.C..

Respectfully submitted,

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| | |
|------------------------|------------------|
| Application Number | 10/626,907 |
| Filing Date | July 25, 2003 |
| First Named Inventor | Van Dyke |
| Art Unit | Not Yet Assigned |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | SwRI-2966-03 |

Sheet 1 of 2

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 6124265 | 09-26-2000 | Smith, et al. | |
| | | US- 6165496 | 12-26-2000 | Smith, et al. | |
| | | US- 3677693 | 07-18-1972 | Fillingham | |
| | | US- 6352699 | 03-05-2002 | Mondet, et al. | |
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| | | US- 5412076 | 05-02-1995 | Gagnieu | |
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FOREIGN PATENT DOCUMENTS

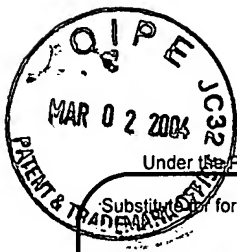
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ^a |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | | EP 0540357 | 05-05-1993 | Jones, et al. | | |
| | | EP 0097907 | 01-11-1984 | Haller | | |
| | | WO 9931167 | 06-24-1999 | Vanderhoff, et al. | | |
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Examiner
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Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|-------|---|----|---|
| Sheet | 2 | of | 2 |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | | TANAKA, YOSHIO ET AL., Reaction of Wool Keratin With Epoxides, Proceedings International Wolltextil-Forschungskonf, Vol. 3, 1976, pp. 192-201 | |
| | | FRAENKEL-CONRAT, H., The Action of 1,2-Epoxides on Proteins, Journal of Biological Chemistry, vol. 154, no. 1, June 1, 1944 | |
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